

Officers Certificate under 2018/19 Levy Determination

Special Category Employers in favour of the pension scheme(s)

I, _____, hereby certify that I am duly authorised to give this Officer's Certificate on behalf of _____ 'the Employer' and hereby confirm that I am:

- (a) a director or the company secretary, in the case of a company;
- (b) a member, in the case of a limited liability partnership;
- (c) the general partner, in the case of a limited partnership; or
- (d) a senior manager, with authority to bind the organisation, if the organisation does not have director, member or general partner roles.

I hereby confirm that the Employer:

- (a) is established by legislation or under international treaty;
- (b) is the Crown;
- (c) is an entity classified as central government by the Office of National Statistics; or
- (d) is a foreign government (or an entity that has a similar relationship to a foreign government as a central government entity);

and I confirm my reasonable belief that the advantage derived from the levy, calculated taking into account the Officer's Certificate, does not amount to state aid.

[Please provide evidence of which ground(s) (a) to (d) apply and set out the grounds for your belief that state aid is not an issue (and any legal opinion obtained).]

I hereby confirm that in my reasonable belief the following submissions are consistent with a finding by the Board of the Pension Protection Fund ('the Board') that:

- (a) the nature of the Employer's constitution, governance, function or sources of income are such that in the opinion of the Board the application of Rules E2-E5 (with the exception of those Rules which expressly apply to Special Category Employers) does not fundamentally capture the risk of the Employer's Scheme(s) making a claim on the Board; and
- (b) in the opinion of the Board, it is very unlikely in practice that the Board will have to assume responsibility for the Employer's Scheme(s) in the foreseeable future.

[Please set out submissions here explaining why you think these tests are satisfied.]

I agree that if my certificate is accepted and the Employer is categorised as a Special Category Employer I will notify the Board if there is any material change that would affect its Special Category Status.

The information contained within this Officer's Certificate is complete and accurate. In confirming this, I am aware that it is a criminal offence under section 195 of the Pensions Act 2004 for any person knowingly or recklessly to provide false or misleading information to the Board in circumstances in which the person providing the information intends or could reasonably be expected to know, that it would be used by the Board for the purposes of exercising its functions and acknowledge that the information provided in this Officer's Certificate will be used by the Board for the purposes of exercising its functions.

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Signed by

.....
Name

.....
Title

.....
Date

Please send this completed and signed Certificate together with any supporting documentation to the PPF -Levy Customer Support at information@ppf.gsi.gov.uk or by post to The Board of the Pension Protection Fund, Renaissance, 12 Dingwall Road, Croydon, CR0 2NA