

# The Board of the Pension Protection Fund

## Disclosure Log – 2023/24

| Date of Response | FoIA Subject   |
|------------------|--|
| 02/05/2023       | <a href="#">IT &amp; Procurement</a>                     |
| 19/05/2023       | <a href="#">ICT</a>                                      |
| 05/06/2023       | <a href="#">Pre 97 &amp; inflation</a>                   |
| 21/06/2023       | <a href="#">ICT &amp; Printing</a>                       |
| 14/07/2023       | <a href="#">Buildings maintenance</a>                    |
| 26/07/2023       | <a href="#">ICT/customer system</a>                      |
| 19/09/2023       | <a href="#">Staff working abroad</a>                     |
| 19/09/2023       | <a href="#">CCTV contracts</a>                           |
| 19/09/2023       | <a href="#">Whistleblowing, HR, data breach</a>          |
| 05/10/2023       | <a href="#">ICT contracts, repeat 37 2223</a>            |
| 05/10/2023       | <a href="#">Mobile phones contract</a>                   |
| 23/10/2023       | <a href="#">Amount paid to Target for contract</a>       |
| 23/10/2023       | <a href="#">Contracts</a>                                |
| 07/11/2023       | <a href="#">Firewall, Anti-Virus and Enterprise</a>      |
| 10/11/2023       | <a href="#">Contracts</a>                                |
| 10/11/2023       | <a href="#">Deceased FAS members</a>                     |
| 12/01/2024       | <a href="#">Translation expenditure</a>                  |
| 08/02/2024       | <a href="#">Energy management system</a>                 |
| 23/01/2024       | <a href="#">Number of FAS members in Wales</a>           |
| 08/02/2024       | <a href="#">Contracts register, procurement strategy</a> |

|            |  |
|------------|--|
| 26/02/2024 | <a href="#">DSARs received</a>           |
| 19/03/2024 | <a href="#">FAS Members Compensation</a> |
| 19/03/2024 | <a href="#">Uplift, overpayments</a>     |
| 10/04/2024 | <a href="#">Staff networks</a>           |
| 18/04/2024 | <a href="#">Investment funding</a>       |

### Quarterly Breakdown

| Quarter              | Total Received | Full disclosure | Partial Disclosure | Information withheld |
|----------------------|----------------|-----------------|--------------------|----------------------|
| Q1 2023/24           | 8              | 3               | 4                  | 1                    |
| Q2 2023/24           | 17             | 8               | 5                  | 4                    |
| Q3 2023/24           | 13             | 7               | 4                  | 2                    |
| Q4 2023/24           | 15             | 4               | 9                  | 2                    |
| <b>2023/24 Total</b> | <b>53</b>      | <b>22</b>       | <b>22</b>          | <b>9</b>             |

All requests were answered within 20 working days.

May 2023

1. Contract Type: [Maintenance](#)
2. Existing Supplier: Who is the current supplier? [Specialist Computer Centres](#)
3. Annual Spend for each supplier: What is the annual average spending on the supplier above? If there is more than one supplier, please split the annual averages spent for each supplier. [SCC - £23k](#)
4. Number of Users: Please can you provide me with the number of users this contract covers. Approximate number of users will also be acceptable. [450](#)
5. Number of Sites: The number of sites, where equipment is supported by each contract.  
[3 sites.](#)
6. Contract Description: Please provide me with a brief description of the overall contract.  
[Hardware and Software Maintenance](#)
7. Contract Duration: What is the duration of the contract is and can you please also include any extensions this may include. [12 months](#)
8. Contract Expiry Date: When does the contract expire? [SCC - 06/12/23](#)
9. Contract Review Date: When will the organisation be planning to review the contract?  
[October 2023](#)
10. Responsible Officer: Contact details including name, job title, contact number and email address? [All our procurement is managed through our Commercial Services team. Their contact details and information about how to find out about any future opportunities in this regard can be found on our website at \[www.ppf.co.uk/doing-business-us\]\(http://www.ppf.co.uk/doing-business-us\).](#)

May 2023

*The duration of time the Pension Protection Fund has been utilising cloud infrastructure.*  
We have used cloud infrastructure since 2019.

*The criteria used to choose a cloud provider.*

We have numerous cloud providers and the criteria is different each time depending on the kind of service/application and the requirements of the business. We adhere and follow the Government Crown Commercial Services frameworks and processes in choosing providers.

*The annual budget over the last five years for IT-managed services*

All financial information is available within our publicly available Annual Reports. We adopt the appropriate and proportionate operating model that delivers against the function and purpose of the PPF. Please note the Annual Report is published via:- <https://www.ppf.co.uk/annual-report>

*Please provide the percentage spent on cloud-managed services*

All our financial data is publicly available through our Annual Report publication but expenditure for ICT related services may include but not be limited to cloud-managed services.

June 2023

We can advise that, as of May 2023, 235,918 PPF members earned some or all their pension pre-1997. Please note, this figure has been obtained at a folder level, which means that it is possible that certain individuals will be counted more than once. This is because a member can have dual membership where they belong to more than one scheme that has entered the PPF, members can also be vested which means that they will have different retirement ages in which they can take their benefits.

June 2023

I would like to make a request for the following information relating to the authority's current Multi-Functional Devices and printing/scanning services contract(s)

1. What services are included in the contract(s)? (e.g. printing vs scanning etc)

Print, scan, photocopy

2. Which supplier is delivering them? (If in-house, please confirm or if multiple provider please identify them)

Kent Commercial Services

3. How many contracts does this entail and what's the award value for each?

1 original contract, currently in a 2-year extension period; the total cost is £30,137.22.

4. When do these contracts expire and do they have any extensions?

30 November 2024; no further extension

5. What is the annual volumetric data (split by Annual Mono and Annual Colour print)?

For 2022; Volume: Colour 163,400, Mono 84,215

6. What is the total number of devices supplied? 8 devices

7. What Managed Print Service software solution do you use? Equitrac

8. How many Mono MFDs and Colour MFDs do you have? 6 Colour MFDs

9. What document management solution do you use? Sharepoint Online

10. What High Volume printing devices do you use? Ricoh IMC5500

11. Were any framework agreements used to procure the goods/services? If so, which ones?

Y17035 Rental of Multi-functional Devices and Document Solutions

13. What department is managing the contract and who's the decision-maker?

The relevant department is Technology & Change Services; further details relating to the Chief Technology Officer can be found via: [Simon Liste | Pension Protection Fund \(ppf.co.uk\)](#)

14. How many Adobe Acrobat (standard, professional and reader) licenses do you have?

Professional 149, reader 453

15. What is the annual cost? £14,848.61

16. When is the renewal date? 30 November 2024

17. Who is responsible for the contract? As per above; the Technology & Change Services team.

18. Do you use any other PDF editing tools? No

July 2023

*Q1. What type of maintenance management model does your organisation use? E.g. Managed supply-chain, single hard-fm & soft-fm contractor, internal workforce, principal contractor etc.*

We have separate contracts for maintenance and cleaning services. The buildings we occupy are multi tenanted and service charges are paid via managing agents on behalf of the landlord.

*Q2. Can you provide a list of the approved contractors used?*

Sabre Building Solutions

Churchill Contract Services Ltd

*Q3. What are the total values of contracts granted?*

Churchill – combined for both sites £244,827.

Sabre - £56,150

*Q4. When do these contracts expire?*

Sabre – 25/01/24

Churchill – Renaissance, Croydon office – 26/01/24 with option to extend for 3 more years.

Churchill – Cannon Street, London office – 18/04/24

*Q5. What services are provided in each contract?*

Sabre Building Solutions – planned preventative maintenance.

Churchill Contract Services Ltd – cleaning services

*Q6. What procurement method was used? E.g., Open ITT, Framework if so, which one?*

Churchill – Renaissance, Croydon office – Framework RM6130, Dynamic Purchasing System

Churchill – Cannon Street, London office – non-FTS competitive process

Sabre – Non-FTS competitive process



July 2023

1. Does your organisation use Customer Relationship Management (CRM) software? (Example: HubSpot, Salesforce, Dynamics, Other, etc.)
  - Yes
2. If yes, please specify which CRM(s) are used by your organisation:
  - Dynamics
  - Bespoke solution
3. What license level/subscription does your organisation have? **E5 and named licenses.**
4. What is the annual cost of your CRM system(s)? **Dynamics - £69,135**
5. List any job roles by (job title) within your organisation that communicate with contacts via the CRM(s) system? **Director - Restructuring & Insolvency and Customer Relations Manager.**
6. Does your organisation work with any external agencies to manage the CRM? **Yes**
7. Does your CRM integrate with other systems within your organisation? (Example: finance systems, Other CRM Systems, etc.) **Yes**
8. If yes, please specify which other systems your CRM(s) are integrated with: **Finance system**

September 2023

*Please include the following information, for the 2020/21, 2021/22, 2022/23 financial years:*

- 1. The number of staff, per year, given permission to work from abroad*
- 2. For each member of staff granted permission, please provide their pay band, the country they have been allowed to work from, the length of time that they have been allowed to work for and the dates they were allowed to work from abroad. Please also provide the reason. If any of this is not possible to provide, please provide the remaining information.*

We are able to provide the following information for Q1:-

**2020/21** – 10 members of staff

**2021/22** – 11 members of staff

**2022/23** – 10 members of staff

September 2023

*The information I require is to do with the organisation's CCTV maintenance and support contract.*

*Please can you send me the information stated below:*

- 1. Supplier of the contract for CCTV maintenance and support*
- 2. How much does the Org/s spend annually with the supplier? (if multiple suppliers please list the annual spend for each)*
- 3. What is the renewal date of this contract?*
- 4. What is the duration of the contract?*
- 5. What is the review date of this contract? If possible the likely outcome of this review*
- 6. The primary brand of the CCTV equipment. I don't require the model just the brand. If there is various brands could you please list?*
- 7. What is the total number of cameras in use/under this contract?*
- 8. The description of the services provided under this contract. Please state if this contract includes more than just CCTV services.*
- 9. Contact details of the employee responsible for the contract between the supplier and the organisation. Can you please provide me with their full contact details*

*If there is no CCTV maintenance contract in place*

- 1. What is the brand of CCTV cameras in use? if there is variety could you please send me a list? I do not need the serial number or model just the brand.*
- 2. How much is the average annual spend on the in-house maintenance?*
- 3. How many cameras are in use?*
- 4. Is there a plan to review this at any point, if so what would the date be?*
- 5. Who is in charge of overseeing the in-house maintenance?*

*If there is no maintenance contract or in-house maintenance in place, is there an ad-hoc agreement?*

*If yes,*

- 1. Who is the supplier? Is this varies could you please list?*
- 2. What is the brand of CCTV cameras in use? if there is variety could you please send me a list? I do not need the serial number or model just the brand.*
- 3. How many cameras are in use?*
- 4. How much is the average annual spend on the ad-hoc agreement?*

5. *What is the date it is to be reviewed?*

We can firstly advise that the highlighted section is relevant to the PPF as there is no formal contract; we therefore do not hold information for the first part of the request.

1. *Who is the supplier? Is this varies could you please list?*

Welcome Gate

2. *What is the brand of CCTV cameras in use? if there is variety could you please send me a list? I do not need the serial number or model just the brand.*

Samsung

3. *How many cameras are in use?*

9 across two locations- 7 in Croydon; 2 in Cannon Street

4. *How much is the average annual spend on the ad-hoc agreement?*

CCTV maintenance for Cannon Street £482.00 / CCTV maintenance for Croydon £525.20.

5. *What is the date it is to be reviewed?*

Cannon Street April 2024 / Croydon March 2024

September 2023

For the below queries, please report on the FY 2019-20, 2020-21, 2021-22 and 2022-23. Plus FY2023-24 ( to date )

1. How many instances of whistleblowing have been recorded by the organisation ?
2. How many employment disputes have reached tribunal stage, and how many have been settled informally?
3. What is the most common reason given by staff for leaving the organisation?
4. Does the organisation have a published grievance policy? How is this disseminated to staff?
5. Has the organisation, or its key suppliers suffered information or cyber security breaches?
6. Has the organisation or its key suppliers suffered any physical intrusion?

Q1&2) We consider that this information is exempt from disclosure as it relates to personal data and in our view, providing this information would allow an individual(s) to be identified which would contravene the first principle of the Data Protection Act. The withheld information is relatively recent and relates to a small number of individuals who could be identified by current or former colleagues.

We consider it would be unfair to provide this information as the data subject(s) would have no reasonable expectation that it would be disclosed, and it may cause distress to provide the information. We therefore engage the exemption at s40(2) FOIA.

We note that we can choose to disclose personal data where we consider there is a legitimate interest to do so but we do not consider here that there is any specific legitimate interest in providing this data to this level as to do so would identify individuals.

Q3) The most common reason we note for staff leaving the PPF is career progression or promotion at another organisation.

Q4) We have a grievance policy on our Intranet (Connect Online) with all our other employee policies which is accessible by all staff. HR also send this policy to employees who ask for it.

Q5) Please note firstly that we are only aware of suppliers suffering an information / cyber breach if they inform us of this and it has an impact on PPF data / information.

| Number of Information Security / Cyber Security Breaches |         |         |         |         |         |
|--|---------|---------|---------|---------|---------|
|  | 2019/20 | 2020/21 | 2021/22 | 2022/23 | 2023/24 |
| PPF  | 0       | 0       | 0       | 0       | 0       |

|               |   |   |   |   |   |
|---------------|---|---|---|---|---|
| Key suppliers | 0 | 0 | 0 | 1 | 0 |
|---------------|---|---|---|---|---|

We do not have a category of “key suppliers”, but we categorise critical suppliers as those which support important business services or critical activities. We notified the ICO of one breach relating to a critical supplier affecting a limited amount of PPF data in March 2023.

Q6) We can confirm we have had no physical intrusions into PPF offices over the periods in question; and are not aware of any reported by our suppliers during this timeframe.

October 2023

Firstly, please note that much of the information you have asked for is publicly available via the Contract Finder site at [www.contractsfinder.service.gov.uk](http://www.contractsfinder.service.gov.uk) by searching for the "Pension Protection Fund". As such it is exempt from disclosure under s.21(1) of the Freedom of Information Act (FOIA). This exemption applies where the information is reasonably accessible to the applicant.

We are able to provide the remaining information as follows, which we hope is of assistance:-

*I wish to submit to the organisation a freedom of information request relating to the organisation's ICT contracts, specifically around:*

1. *Contact centre contract(s)*
2. *Inbound network services contract (s)*

**Contact centre contract:-**

1. Incumbent Supplier: For each of the contract(s) please can you provide me with the supplier of the contract.

[Daisy Corporate Services Trading Ltd](#)

2. Annual Average Spend: For each supplier, please state the annual average (over 3 years) spend for each supplier

[This can be extrapolated from the contract value published on Contract Finder](#)

3. Contract Duration: For each supplier, please state the contract duration of the contract expires. If available please also include any contract extensions.

[Please see contract finder](#)

4. Contract Expiry: For each supplier, please state the date of when the contract expires.

[Please see contract finder](#)

5. Contract Review: For each supplier, please state the date of when the contract will be reviewed.

[31/5/25](#)

6. Contract Description: For each supplier, please state a brief description of the services provided of the overall contract.

[Please see contract finder](#)

7. Contact Details: For each supplier, please state the person from within the organisation responsible for the contract. Please provide me with their full name, actual job title, contact number and direct email address. At the very least please provide me with their actual job title.

All our procurement is managed through our Commercial Services team. Their contact details and information about how to find out about any future opportunities in this regard can be found on our website at [www.ppf.co.uk/doing-business-us](http://www.ppf.co.uk/doing-business-us)

8. Number of Agents; please provide me with the total number of contact centre agents;

Approx 200 within our Member Services directorate.

9. Number of Sites; please can you provide me with the number of sites the contact centre covers.

1

10. Manufacturer of the contact centre: Who is the manufacturer of the contact centre system that you operate?

Content Guru

11. Do you use Microsoft Exchange 2003 as your email server? If not, then which products do you use?

No. PPF use Microsoft Exchange Online

12. Number of email users: Approximate number of email users across the organisations.

Approx 500

**The second part of my request relates to the use inbound network services contracts which could relate to one of the following:**

1. 0800, 0845, 0870, 0844, 0300 number
2. Routing of calls
3. Caller Identifier
4. Caller Profile- linking caller details with caller records
5. Interactive voice response (IVR)

**For a contract relating to the above please can you provide me with?**

1. Incumbent Supplier: [Virgin Media Business](#)



2. Annual Average Spend: This can be extrapolated from the contract value published on [Contract Finder](#)
3. Contract Expiry: This can be found on [Contract Finder](#)
4. Contract Review: 14/10/23
5. Contract Description: This can be found on [Contract Finder](#)
6. Contact Details: All our procurement is managed through our Commercial Services team. Their contact details and information about how to find out about any future opportunities in this regard can be found on our website at [www.ppf.co.uk/doing-business-us](http://www.ppf.co.uk/doing-business-us)

October 2023

1. Network Provider(s) - Please provide me with the network provider name e.g., EE, Telefonica, Vodafone, Three

Virgin Media/O2

2. Annual Average Spend for each Network Provider - Can you please provide me with the average annual spend over the last 3 years. If this is a new contract, can you please provide the estimated annual spend.

This is a new agreement – the expected annual spend is £11,864.95

3. Number of Connections- Number of connections for each network provider. (Number of voices only devices, voice and data devices, data only devices) please provide me with the breakdown and not the overall total.

46 Voice only

1 shared voice and data 500GB

4. Duration of the contract- please state if the contract also includes contract extensions for each provider.

24 Months only

5. Contract Start Date- please can you provide me with the start date of the signed agreement. Please do not provide me with the framework contract date I require the contract dates of the signed agreement. (if there are multiple start dates, could you please provide me with the earliest date for each provider)

26/07/2023

6. Contract Expiry Date- please can you provide me with the expiry date of the signed agreement. Please do not provide me with the framework contract date. I require the contract dates of the signed agreement. If the contract is rolling, please state.

25/07/2025

7. Contract Review Date- Please can you provide me with a date when the organisation plans to review this contract.

25/01/2025

8. The person in the organisation responsible for this particular contract. Can you send me the full contact details Contact Name, Job Title, Contact Number and direct email address for each network provider? If full contact details cannot be provided, please send me their actual job title.

All our procurement is managed through our Commercial Services team. Their contact details and information about how to find out about any future opportunities in this regard can be found on our website at [www.ppf.co.uk/doing-business-us](http://www.ppf.co.uk/doing-business-us)

*9.If the mobile phone contract is provided by a managed contract, please provide me with the actual name of the network provider along with the number of connections and the internal contact from within the organisation responsible for this contract.*

N/A

*10.Please can you provide me with the latest information- If the organisations are currently out to tender, please can you also state the approx. date of the award along with the information above.*

N/A

*11. Also, if the contract in the response has expired/rolling please can you provide me with further information if available of the organisation's plans going forward with regards to mobiles and the contract status?*

N/A

12. If this contract was awarded within the past three months, can you please provide me with a shortlist of suppliers that bid on the contract?

The contract was a direct award using the price comparison tool - Framework Mobile and data services.

October 2023

## Our response

Between 1 April 2022 to 31 March 2023 the PPF spent £555,131.00 on Target's services.

October 2023

1. *Type of hosting – Dedicated, Co-Location, Cloud Hosting, Other?* **Co-Location**
2. *Who is the supplier of the contract? If possible can you also provide me with the name of the vendor, if applicable?* **Crown Hosting Data Centre**
3. *What is the annual contract value for each contract?* **Crown Hosting - £38,817**
4. *What type of cloud environment?* **Hybrid**
5. *What is the original start date of the contract agreement?* **Crown Hosting – 11/12/2018**
6. *What is the actual expiry date of the contract agreement?* **Crown Hosting – 31/01/2024**
7. *When will the organisation plan to review this contract?* **2023-24 financial year**
8. *What is the contract period in years? Please include whether the agreement has any extension periods?* **7 years**
9. *What services are provided under the contract? Please do not put hosting, information such as web hosting, file storage, hosted application. The more information the better.* **Datacentres – PPF domain**
10. *Can you please provide me with the contract officer responsible for this contract? Complete contact details if possible name, title, contact email and number.* **All our procurement is managed through our Commercial Services team. Their contact details and information about how to find out about any future opportunities in this regard can be found on our website at [www.ppf.co.uk/doing-business-us](http://www.ppf.co.uk/doing-business-us).**

November 2023

| Requested   | Standard Firewall (Network)  | Anti-virus Software Application   | Microsoft Enterprise Agreement   |
|---|--|---|--|
| 1. Who is the existing supplier for this contract?  | Section 31 Exemption   | Section 31 Exemption  | Bytes Software Services  |
| 2. What does the organisation annual spend for each of contract?  | £2694 Datacentre<br>£506 Office  | N/A   | £314,158.69  |
| 3. What is the description of the services provided for each contract? Please do not just state firewall. | Hardware and Software Support  | Our Antivirus is part of a Bundled Systems management package and has no specific contract. | The Enterprise Agreement is a three-year contract, which covers all software licensing requirements for M365 E5 and additional products. |
| 4. Primary Brand (ONLY APPLIES TO CONTRACT 1&2)   | Section 31 Exemption   | Section 31 Exemption  | N/A  |
| 5. What is the expiry date of each contract?  | 28/06/2025 Datacentre<br>06/12/2023 Office   | N/A   | 31/01/2026   |
| 6. What is the start date of each contract?   | 29/06/2023 Datacentre<br>07/12/2022 Office   | N/A   | 01/02/2023   |
| 7. What is the contract duration of contract?   | 2 Years Datacentre<br>1 Year Office  | N/A   | 3 Years  |
| 8. The responsible contract officer for each of the contracts above? Full name, job title, contact        | For all procurement queries, please <a href="#">email our Commercial Services team</a> . |   |  |

| Requested  | Standard Firewall (Network) | Anti-virus Software Application | Microsoft Enterprise Agreement |
|--|-----------------------------|---------------------------------|--------------------------------|
| number and direct email address.                   |                             |                                 |                                |
| 9. Number of Licenses (ONLY APPLIES TO CONTRACT 3) | N/A                         | N/A                             | 550                            |

November 2023

*Further to my records, Next Generation Data and Rackspace contracts have expired. I would like to know whether these contracts are still valid? If so please share the latest information.*

We confirm that the contract for Next Generation Data has expired; the Rackspace contract expires on 10 November 2023 and will not be renewed.



November 2023

*Please can you let me know how many FAS recipients that have passed away since the inception of the FAS in 2004 up until present day who no longer claim assistance.  
That is to say all deceased FAS recipients from all schemes within the FAS.*

As of 6th November 2023, there were 27,770 deceased members of the Financial Assistance Scheme.

The number of 27,770 deceased members includes records where a 'deceased' status has been confirmed, as well as where we have been notified of a deceased member but the process of confirmation on our systems (which we call the bereavement process) has not yet been finalised.

January 2024

*I wish to make an FOI request. Please provide me with the following information for the financial years 2020/21, 2021/22, 2022/23.*

*All expenditure made by your organisation, in every facet of its operations and purview, on translation, interpretation, and language services.*

*Please provide the aggregated total spent by your organisation and then, if possible, please provide this broken down by particular function for which the service was carried out.*

Please note the following costs relating to translating our annual newsletter into Braille: -

2020/21 – Nil

2021/22 - £405.50 including VAT

2022/23 - £600 including VAT

February 2024

## Gas and Electricity Contracts

1. *Energy Provider Cannon Street* – EDF for both offices
2. *Annual Spend for each provider for the past 3 financial years.*

Please see page 43 of our Annual Report for 2022/23 which provides energy expenditure for the last three financial years:- [Annual Report 2022/23](#)

3. *Contract Duration (Including any extensions)* 12 months for both
4. *Contract Start Date* 01.11.2023 Cannon Street, 01.04.23 Croydon
5. *Contract Expiry Date* 01.11.24 Cannon Street, 30.09.24 Croydon
6. *Contract Review Dates* September 2024 for Croydon office and November 2024 for Cannon Street
7. *Contact details of the person responsible, including job title*

Our procurement team can be contacted via <https://www.ppf.co.uk/contact-us/doing-business>

We consider that names of specific employees should be withheld under section 40(2) of the Freedom of Information Act 2000 (FOIA) as we consider that this information is exempt from disclosure as it relates to personal data.

In our view, providing this information would allow an individual(s) to be identified which would contravene the first principle of the Data Protection Act. We consider it would be unfair to provide this information as the data subject(s) would have no reasonable expectation that it would be disclosed.

We note that we can choose to disclose personal data where we consider there is a legitimate interest to do so but we do not consider here that there is any specific legitimate interest in providing names of employees. Please therefore note that this letter acts as a partial Refusal Notice in accordance with section 17 of FOIA.

8. *Total Consumption of Gas, please provide me with the latest figure in cubic metres:* N/A
9. *Total Consumption of Electricity (NHH), please provide me with latest figure in kWh for the past 3 financial years.*

Please refer to our Annual Accounts on page 43 as referenced in Q2

10. *Total Consumption of Electricity (HH), please provide me with latest figure in kWh for the past 3 financial years.*

Please refer to our Annual Accounts on page 43 as referenced in Q2

11. Contact details of the person responsible, including job title at the very least

Please refer to our response to Q7.

**Energy Management System Contract(s) - Contract(s) relating to the organisation's energy management system. An energy management system (EMS) is a system of computer-aided tools used by operators of electric utility grids to monitor, control, and optimise the performance of the generation or transmission system.**

*Energy Management System Provider*

1. *Annual Spend*
2. *Contract Duration (Including any extensions)*
3. *Contract Expiry Date*
4. *Contract start date*
5. *Contract Review Date*
6. *Contract Description – A description of the services provided.*
7. *Brand of the software*
8. *Total number of meter points for electricity:*
  - a. *Non-Half Hourly (NHH) meter points*
  - b. *Half Hourly (HH) meter points*
9. *Total number of Gas meter points*
10. *Total number of meter points for specialist gases and liquids*
11. *Contact details of the person responsible, including job title*

We don't have any management systems for either site, so this is not applicable.

January 2024

We can confirm that there are 7,948 FAS members (4,703 pensioners and 3,245 deferred) with the current address on record having a Welsh postcode.

February 2024

### 1. **Contract Register Request:**

*I am seeking the full and entirety of the organisation's contract register or database. The register should include the following columns/headings or something similar:*

- *Contract Reference -Unique reference number associated with the contract.*
- *Contract Title*
- *Procurement Category –*
- *Supplier Name*
- *Spend (Total, Annual or contract value)*
- *Contract Duration*
- *Contract Extensions*
- *Contract Start Date*
- *Contract Expiry Date*
- *Contract Description [Please provide me with as much detail as possible.]*
- *Contact Owner (Person that manages the contract register)*
- *Contact details of section 151 officer*
- *CPV codes/Pro-Class*

*If any of the headings within your contract register has not been provided, please state this within your response.*

*Please provide the contract's register file in Excel format.*

### 2. **Procurement Strategy Document Request:**

*· Can the organisation provide a full version of their Procurement Strategy for the fiscal year 2023-2024?*

*· If the Procurement Strategy is a strategic direction (2022-2025) instead of an annual plan, please provide an update document for 2023-2024. If an update cannot be provided, please provide information on when an update is planned to be published.*

*· We require the full document. If any parts of this document have been removed, please state this within your response.*

### 3. **Contact Details Request:**

*· Provide contact details of the person responsible for API or data sharing, including [Name, Job Title, Telephone, Email Address].*

*· Provide contact details of the person responsible for the actual contract's register, including [Name, Job Title, Telephone, Email Address].*

1. The first category relates to 57 PPF contracts which are published online via the Governments Contracts Finder tool dating back to July 2015. These contracts are PPF contracts where the contract value is greater than £25,000 and these can be viewed at the following [link](#) (you would need to perform a search for the "Pension Protection Fund"). As this information is accessible to you through the Contracts Finder tool (and listed in our publication scheme as such), it is exempt from disclosure under s.21(1) of the Freedom of Information Act 2000 (FOIA). Further details can be found in the annex to this letter.

The PPF also holds a number of contracts in relation to our investment functions. We have concluded that disclosure of the information you have requested falls under section 43(2) of FOIA. Section 43(2) provides a qualified exemption from the disclosure of information where disclosure is likely to prejudice the commercial interests of any person. Further details can be found in the annex to this letter.

Please note, a list of the current panel of fund managers that we can fund (when and where suitable investment opportunities and supporting strategies are identified) is at this link (grouped by asset class): <https://www.ppf.co.uk/fund-managers>

Further information on how the investments of the Pension Protection Fund are managed can also be found as part of our annual report and accounts at [www.ppf.co.uk/annual-report](http://www.ppf.co.uk/annual-report)

We have identified 217 other contracts not included in the previous categories. However, our estimate is that the cost of compliance would exceed the statutory limit of £450 set out in the Freedom of Information and Data Protection (Appropriate Limit and Fees) Regulations 2004, owing to the number of these contracts and the time it would take to undertake the necessary steps of reviewing and acting in accordance with any FOIA clauses found in the contracts in order to extract the information. The exemption in section 12 of FOIA (where cost of compliance exceeds appropriate limit) therefore applies and we are unable to provide you with this information. We have considered whether we can provide the information in a summary or anonymised form and have concluded that this would not answer the terms of your request.

We have considered very carefully how we might be able to assist you in bringing a request within the appropriate limit, but it is difficult to advise how you might be able to do so as until the work has been completed we do not know what the contracts would require and what narrowed scope we could suggest. However, if you are able to more narrowly describe the range of contracts that you are interested in, this would make it more likely that we would be able to provide the data within the appropriate limit.

Q2) Please find a link to our strategic plan including our procurement strategies:-

[Strategic plan & business plan | Pension Protection Fund \(ppf.co.uk\)](#)

Q3) We consider that names of specific employees should be withheld under section 40(2) of the Freedom of Information Act 2000 (FOIA) as we consider that this information is exempt from disclosure as it relates to personal data. Further details can be found in the Annex to this letter.

February 2024

*1: Please advise how many Data Subject Access Requests (hereafter referred to as SARs) you received and responded to in 2021, 2022 and 2023 relating to employees (past or present) and other requests (e.g. customers, general public, service users etc).*

*2: When responding to SARs do you manage the process in-house, or do you outsource the whole or part of the process? And if conducted in house please specify the name of the team/function that is responsible for this part of the process (eg Data Privacy, HR, etc).*

*3: Approximately how many working hours does it take to pull together a typical SARs response, this includes the time taken to collate and redact the information, and putting the information together for issuing?*

*4: What is the estimated percentage of handwritten documentation within a typical SAR response?*

Q1) We can provide the following figures per financial year as recorded:-

**2021/22:** We received 244 DSARs in total; no DSARs from former or current employees.

**2022/23:** We received 533 DSARs in total; fewer than five DSARs from former or current employees.

**2023/24 (to end of January 2024):** We received 548 DSARs in total; fewer than five DSARs from former or current employees.



March 2024

*How many FAS members are in receipt of compensation, how many are yet to retire and how many are receiving spouse's benefit or beneficiary benefits.*

We are able to provide the following information which we hope is of assistance:-

Total number of FAS members receiving compensation – 65,289

Total number of FAS deferred members – 54,137

Total number of FAS beneficiary members- 12,885

March 2024

*Q1. How many people are affected by your lack of control of overpayments of the LSC uplift.*

There are 129 members in the project to remove the PPF compensation cap that had an overpayment from an error in the Long Service Cap (LSC) calculations.

*Q2. How many people are affected by your lack of control of underpayments of the LSC uplift.*

No one was underpaid as a result of errors in the LSC calculations.

April 2024

### 1. A list of all staff networks at the organisation

| 2019/20                        | 2020/21   | 2021/22   | 2022/23                                    |
|--------------------------------|---|---|--|
| Christian Fellowship Group     | Christian Fellowship Group                              | Christian Fellowship Group                                | Christian Fellowship Group                 |
| Disability Working Group (DWG) | Disability Working Group                                | Diverse Ability Action and Awareness Group (formally DWG) | Diverse Ability Action and Awareness Group |
| Emerging Women                 | Emerging Women  | Merged with Empowering Women's Group                      |  |
| Inspiring Women                | Inspiring Women   |   |  |
| Empowering Women's Group       | Empowering Women's Group                                | Empowering Women's Group                                  | Empowering Women's Group                   |
| Islamic Circle                 | Islamic Circle  | Islamic Circle  | Islamic Circle                             |
| Kaleidoscope                   | Kaleidoscope  | Kaleidoscope  | Kaleidoscope                               |
| -                              | -   | Menopause Café  | Menopause Café                             |
| -                              | -   | Men's Network Group                                       | Men's Network Group                        |
| Ethnicity Discussion Forum     | Race Action Group (formally Ethnicity Discussion Forum) | Race Action Group   | Race Action Group                          |
| Working Families Alliance      | Working Families Alliance                               | Working Families Alliance                                 | Working Families Alliance                  |
| -                              | -   | Diversity and Inclusion Champions                         | Diversity and Inclusion Champions          |
| -                              | What next   | What next   | -  |

### 2. Whether each network receives internal funding and, if so, how much (please express annually for the last four financial years)

Network groups do not receive separate funds per financial year, but we do provide funding if requested by the groups for specific events or activities.

|  | 2019/20 | 2020/21 | 2021/22 | 2022/23 |
|--|---------|---------|---------|---------|
| Diverse Ability Action and Awareness Group |         |         | 900     | 4590    |
| Empowering Women's Group                   | 3283    |         |         | 1194    |
| Kaleidoscope                               | 300     | 810     | 500     |         |
| Menopause Café                             |         |         | 1020    | 1734    |
| Race Action Group                          | 1050    | 4300    | 4800    | 2940    |

**3. How much FTE equivalent staff time each network is entitled to. For example, a staff network may have a chair who's entitled to spend 10% of their working hours devoted to the network (please express annually for the last three financial years)**

We currently do not allocate a set time for employees to attend our network groups and would expect line managers to agree this with the individuals on a case-by-case basis.

We encourage managers to recognise the time contributed to our groups in the individual annual objectives setting and appraisal.

**4. A list of events that each network has held in this financial year so far (April to the present day), including the title of the event, information on any guest speakers and the time of the event**

| Month          | Title of event  | Guest speaker   | Time        |
|----------------|---|---|-------------|
| April 2023     | Menopause Café  | No  | 1200 - 1300 |
| April 2023     | Christian Fellowship Group Lunch  | No  | 1300 - 1400 |
| April 2023     | Men's Network – informal catch up   | No  | 1430 – 1500 |
| April 2023     | Kaleidoscope Catch up   | No  | 1200 - 1300 |
| May 2023       | Men's Network – informal catch up   | No  | 1430 – 1500 |
| May 2023       | RAG Meeting   | No  | 1200 – 1300 |
| May 2023       | Informal Group Catch Up – Diverse Ability Action and Awareness Group          | No  | 1400 – 1430 |
| May 2023       | Christian Fellowship Group Lunch  | No  | 1300 - 1400 |
| May 2023       | Kaleidoscope Catch up   | No  | 1200 - 1300 |
| June 2023      | Men's Network – informal catch up   | No  | 1430 – 1500 |
| June 2023      | Christian Fellowship Group Lunch  | No  | 1300 - 1400 |
| June 2023      | Kaleidoscope Catch up   | No  | 1200 - 1300 |
| July 2023      | Men's Network – informal catch up   | No  | 1430 – 1500 |
| July 2023      | Christian Fellowship Group Lunch  | No  | 1300 - 1400 |
| July 2023      | Kaleidoscope Catch up   | No  | 1200 - 1300 |
| August 2023    | Men's Network – informal catch up   | No  | 1430 – 1500 |
| August 2023    | RAG Extended session: Welcoming our Interns and ideas for Black History month | No  | 1500 – 1630 |
| August 2023    | Christian Fellowship Group Lunch  | No  | 1300 - 1400 |
| August 2024    | Kaleidoscope Catch up   | No  | 1200 - 1300 |
| September 2023 | DAAAG meeting – Disability Confident Leaders                                  | Yes - Matt Wood CEO Millwood (a Disability Confident Leader) and Adrian Ward, Head of | 1100 - 1200 |

|                |  |   |             |
|----------------|--|---|-------------|
|                |  | Disability Partnerships at the Business Disability Forum                          |             |
| September 2023 | Christian Fellowship Group Lunch                     | No  | 1300 - 1400 |
| September 2024 | Kaleidoscope Catch up                                | No  | 1200 - 1300 |
| October 2023   | BHM Take 30  | Yes – presentation from David Gleave, an author with an interest in Black history | 1500 – 1530 |
| October 2023   | Menopause and Mindset Masterclass with Danny Simmond | Yes – Danny Simmon – Henpicked: Menopause in the Workplace                        | 1100 – 1230 |
| October 2023   | Christian Fellowship Group Lunch                     | No  | 1300 - 1400 |
| October 2024   | Kaleidoscope Catch up                                | No  | 1200 - 1300 |
| November 2023  | Celebrating Black History                            | No  | 1230 – 1330 |
| November 2023  | WFA and Lives Not Knives – Parent's session          | Yes – Jack Price, Lives not Knives  | 1400 - 1500 |
| November 2023  | BHM Film Night                                       | No  | 1700 - 2000 |
| November 2023  | DAAAG session  | No  | 1200 - 1300 |
| November 2023  | Interfaith Celebration                               | No  | 1300 – 1430 |
| November 2023  | Men's Network – informal catch up                    | No  | 1430 – 1500 |
| November 2023  | Christian Fellowship Group Lunch                     | No  | 1300 - 1400 |
| November 2024  | Kaleidoscope Catch up                                | No  | 1200 - 1300 |
| December 2023  | Race Action Group                                    | No  | 1530 – 1630 |
| December 2024  | Kaleidoscope Catch up                                | No  | 1200 - 1300 |
| December 2023  | Christian Fellowship Group Lunch                     | No  | 1300 - 1400 |
| January 2024   | Menopause and Men: Allyship in Action Masterclass    | Yes, Lee Chambers from Henpicked: Menopause in the Workplace                      | 1400 - 1500 |
| January 2024   | Christian Fellowship Group Lunch                     | No  | 1300 - 1400 |
| January 2024   | Kaleidoscope Catch up                                | No  | 1200 - 1300 |
| February 2024  | RAG Meeting  | No  | 1500 – 1600 |
| February 2024  | Menopause Café                                       | No  | 12pm – 1pm  |
| February 2024  | Christian Fellowship Group Lunch                     | No  | 1300 - 1400 |
| February 2024  | Kaleidoscope Catch up                                | No  | 1200 - 1300 |

#### Protecting People's Futures

The Pension Protection Fund is a statutory fund run by the Board of the Pension Protection Fund, a body corporate, under the Pensions Act 2004

|            |  |  |             |
|------------|--|--|-------------|
| March 2024 | International Women's Day – Breaking down barriers to achieve gender equality  | No   | 1630 – 1730 |
| March 2024 | International Women's Day – Celebrating women in technology                    | No   | 1400 - 1500 |
| March 2024 | Cancer Black Care – Prostate Cancer: Men's Network Group and Race Action Group | Yes – Cancer Black Care representative, Paul Campbell, CEO | 1300 – 1400 |
| March 2024 | DAAAG meeting  | No   | 1100 - 1200 |
| March 2024 | Christian Fellowship Group Lunch   | No   | 1300 - 1400 |
| March 2024 | Kaleidoscope Catch up  | No   | 1200 - 1300 |

April 2024

*On behalf of PitchBook Data, Inc., under the Freedom of Information Act, I request a copy of the quarterly public records from 1Q13 up to 4Q23 for the following information, preferably in Excel or PDF format.*

- 1. Names and vintage years of all private equity, venture capital, mezzanine, distressed, real estate/REIT, debt, and infrastructure partnerships in your plan's portfolio.*
- 2. Commitments made to each partnership.*
- 3. Contributions drawn down since inception.*
- 4. Distributions made to your entity to date by each individual partnership.*
- 5. Net Asset Value of each partnership.*
- 6. Internal rates of return (IRRs) for each partnership with and without the use of credit facility. Please note if the IRRs are not net.*
- 7. Investment multiple (TV/PI) for each individual partnership.*
- 8. The dollar amount of "total management fees and costs paid" for each individual partnership.*
- 9. Date as of which all the above data was calculated.*
- 10. Names of all alternative asset partnerships partially and fully sold by your plan including date of sale.*
- 11. For each fund, please indicate whether the fund uses Subscription Credit Facilities.*

*I also request a copy of any disclosable documents which contain some or all the following information:*

- 12. Names of all hedge fund holdings (including hedge fund of funds) in your plan's portfolio.*
- 13. Month by Month Market Value for each hedge fund holding (including hedge fund of funds) since your plan's initial investment up to 4Q23.*
- 14. Month by Month Contributions to each hedge fund holding (including hedge fund of funds) since your plan's initial investment up to 4Q23.*
- 15. Month by Month Returns (net of fees) earned by your plan's for each hedge fund holding (including hedge fund of funds) since initial investment up to 4Q23.*

The PPF recognises the importance of transparency where possible to confirm that we are managing investments appropriately. We therefore publish information via our website which may be of assistance to your request; please note the information available via

[Investing for our members | Pension Protection Fund \(ppf.co.uk\)](https://www.ppf.co.uk)

This weblink will allow you to access our investment goals, strategies and how we allocate our assets.

[Asset allocation chart | Pension Protection Fund \(ppf.co.uk\)](#)

Our asset portfolio can be seen using this link

[Annual Report 2021/22 | Pension Protection Fund \(ppf.co.uk\)](#)

The annual report shows financial statements from page 88 onwards which may assist.

[Statement of Investment Principles 2022 \(ppf.co.uk\)](#)

Our statement outlines the principles and policies governing determinations about investments made by or on behalf of the PPF in the management of the assets.