

## The Board of the Pension Protection Fund

### Disclosure Log – 2022/23

Date of Response	FoIA Subject
06/06/2022	<a href="#">Crown Hosting Contract</a>
17/06/2022	<a href="#">Breakdown of pre- and post-97 pensioners</a>
23/06/2022	<a href="#">Staff Accused/Disciplined</a>
01/08/2022	<a href="#">IT Inventory and Planned Expenditure</a>
09/08/2022	<a href="#">Mobile Telephony</a>
17/08/2022	<a href="#">Travel Management Process</a>
13/09/2022	<a href="#">Contact centre + CRM + AI/Automation</a>
21/09/2022	<a href="#">Contractual Home Workers</a>
22/09/2022	<a href="#">Telephony and Storage</a>
10/10/2022	<a href="#">Connectivity and Network Services</a>
20/10/2022	<a href="#">LAN Information</a>
26/10/2022	<a href="#">Org Chart</a>
08/11/2022	<a href="#">Data Centre</a>
10/11/2022	<a href="#">LDI and Gilts</a>
17/11/2022	<a href="#">Members by Age Group (PPF)</a>
24/11/2022	<a href="#">Average pension paid to pre-97 members</a>
01/12/2022	<a href="#">How many PPF Members Earned all of their Pension Pre-97</a>
01/12/2022	<a href="#">How many PPF Members Earned Some or all of their Pension Pre-1997</a>

15/12/2022	<a href="#">Equalities Staff</a>
22/12/2022	<a href="#">ICT Contracts</a>
22/12/2022	<a href="#">Recruitment Costs</a>
20/01/2023	<a href="#">Member Payments</a>
28/02/2023	<a href="#">Recruitment/HR</a>
01/03/2023	<a href="#">Purple Book Data</a>
28/02/2023	<a href="#">Purple Book/Funding</a>
02/03/2023	<a href="#">Contracts</a>
20/03/2023	<a href="#">Tobacco Companies</a>

#### Quarterly Breakdown

Quarter	Total Received	Full disclosure	Partial Disclosure	Information withheld
Q1 2022/23	8	7	0	1
Q2 2022/23	14	11	2	1
Q3 2022/23	20	11	4	5
Q4 2022/23	11	8	3	0
<b>2022/23 Total</b>	<b>53</b>	<b>37</b>	<b>9</b>	<b>7</b>

All requests were answered within 20 working days.

Date: 06 June 2022

**Re: Crown Hosting Contract**

1. *Type of hosting – Dedicated, Co-Location, Cloud Hosting, Other?* **Co-Location**
2. *Who is the supplier of the contract? If possible can you also provide me with the name of the vendor, if applicable?* **Crown Hosting Data Centre**
3. *What is the annual contract value for each contract?* **Crown Hosting - £44,820**
4. *What type of cloud environment?* **Hybrid**
5. *What is the original start date of the contract agreement?* **Crown Hosting – 11/12/2018**
6. *What is the actual expiry date of the contract agreement?* **Crown Hosting – 31/01/2024**
7. *When will the organisation plan to review this contract?* **2023-24 financial year**
8. *What is the contract period in years? Please include whether the agreement has any extension periods?* **7 years**
9. *What services are provided under the contract? Please do not put hosting, information such as web hosting, file storage, hosted application. The more information the better.* **Datacentres – PPF domain**
10. *Can you please provide me with the contract officer responsible for this contract? Complete contact details if possible name, title, contact email and number.* **All our procurement is managed through our Commercial Services team. Their contact details and information about how to find out about any future opportunities in this regard can be found on our website at [www.ppf.co.uk/doing-business-us](http://www.ppf.co.uk/doing-business-us).**

Date: 17 June 2022

Re: Age / sex breakdown of PPF members with / without some inflation protection for compensation payments

Pre / Post	Gender	Age group	Member
Post-97	F	65 to 75	18,387
Post-97	F	75 to 85	12,851
Post-97	F	Above 85	1,077
Post-97	F	Under 65	38,879
Post-97	M	65 to 75	37,915
Post-97	M	75 to 85	21,917
Post-97	M	Above 85	2,273
Post-97	M	Under 65	77,484
Pre-97	F	65 to 75	7,209
Pre-97	F	75 to 85	10,077
Pre-97	F	Above 85	10,121
Pre-97	F	Under 65	10,450
Pre-97	M	65 to 75	12,689
Pre-97	M	75 to 85	11,255
Pre-97	M	Above 85	6,594
Pre-97	M	Under 65	15,060

Date: 23 June 2022

**Re: Misconduct in the workplace**

1. How many of your staff have been accused of a) sexual misconduct, or b) other professional misconduct in the workplace since 1st January 2021?

No PPF staff have been accused of any sexual misconduct or any other professional misconduct in the workplace between 1<sup>st</sup> January 2021 and the date of your request.

2. How many of your staff have been disciplined for a) sexual misconduct, or b) other professional misconduct in the workplace since 1st January 2021?

No PPF staff have been disciplined for sexual misconduct or any other professional misconduct in the workplace between 1<sup>st</sup> January 2021 and the date of your request.

Q1. Please list the number of devices deployed by your organisation for the below list?	
DEVICE TYPE	NUMBER OF DEVICES
Desktop PCs	20
Laptops	480
Mobile Phones	100
Personal Digital Assistants (PDAs)	0
Printers	2
Multi Functional Devices (MFDs)	12
Tablets	8
Servers	10
Storage Devices (E.g., NAS, SAN, etc.)	2
Networking Infrastructure (E.g., Switches, Routers, Interfaces, Wireless Access Points, etc.)	35
Security Infrastructure (E.g., Firewalls, Intrusion Detection Systems (IDS), Virus Monitoring Tools, etc.)	4

Q2. Does your organisation have any plans of refreshing or replacing any of the ICT devices from the below list. If yes, please provide the indicative or projected expenditure in the given format?	
REPLACE/REFRESH PROGRAMME:	
IT OR ICT HARDWARE	EXPENDITURE
	2022/23
Desktop PCs	£200,000
Laptops	
Mobile Phones	
Personal Digital Assistants (PDAs)	
Printers	
Multi Functional Devices (MFDs)	
Tablets	
Servers	
Storage Devices (E.g., NAS, SAN, etc.)	
Networking Infrastructure (E.g., Switches, Routers, Interfaces, Wireless Access Points)	
Security Infrastructure (E.g., Firewalls, Intrusion Detection Systems (IDS), Virus Monitoring Tools)	
NOTE: If the projected expenditure is not available, list the years when the refresh/replacement is due or planned for the above devices.	

Q3. Does your organisation have any plans for developing, refreshing, or replacing any software applications, if so, can you please provide the information in the below format?		
S.No	APPLICATION NAME	MONTH/YEAR
1	No	
2		
3		
4		
5		

Date: 09/08/2022

Re: Request for information - Mobile

1. Network Provider name  
[Vodafone Limited](#)
2. Annual Average Spend over three years  
[£21,096 per annum](#)
3. Number of Connections  
[142](#)
4. Duration of the contract and contract extensions  
[24 months](#)
5. Contract Start Date  
[1<sup>st</sup> August 2021](#)
6. Contract Expiry Date  
[31<sup>st</sup> July 2023](#)
7. Contract Review Date  
[January 2023](#)
8. The person within the organisation responsible for this particular contract.  
[For all procurement queries, please email our Commercial Services team –  
CommercialServices@ppf.co.uk](#)
9. If the mobile phone contract is provided by a managed contract, please provide me with the actual name of the network provider along with the number of connections and the internal contact from within the organisation responsible for this contract.  
[N/A](#)

**Date: 17 August 2022**

**Re: Travel Management Processes**

The PPF does not have a specific travel management system, therefore the answer to all the below questions is 'not applicable'.

1. The start and end date of the current contract for travel management including any potential extensions?
2. Is the contract linked to any framework, if yes, which one?
3. The supplier(s) on the current contract.
4. Suppliers who bid unsuccessfully for the current contract.
5. Please could you provide the original specification for the current contract.
6. The actual expenditure to date on the contract.
7. Please could you advise the Senior Officer (outside procurement) responsible for this contract?



Date: 13 September 2022

Re: Contact Centre, CRM and AI Automation

1. Contact Centre	Answer
a. Do you have a customer/ citizen facing contact centre? If not please skip these questions.	Yes
b. Do you employ and manage your own agents, or do you outsource to a third party? If you outsource who to?	We manage our own agents
c. How many contact centre agents do you have?	approx. 200 agents
d. Do agents work from home? Or just your offices?	Hybrid working
e. Please confirm the manufacturer of your contact centre system(s) that are currently in place?	Aspect Software UK Ltd
f. When is your contract renewal date?	31/07/2023
g. Who maintains your contact centre system(s)?	Aspect Software UK Ltd
2. CRM	
a. Do you use a CRM in the contact centre? What platform is used?	Custom developed system
b. Do you use the same CRM for the rest of the organisation? What platform is used?	No, Dynamics
c. Do you use a knowledge base / knowledge management platform? What platform is used?	Yes, Littlefish
3. AI & Automation	
a. Does your organisation have a customer or citizen facing chatbot? If so, who provides this chatbot technology?	No
b. Does your organisation utilise RPA technology? If so which RPA technology provider do you use?	No

**Date: 21 September 2022**

**Re: Contractual Home Workers**

- The number of staff that currently work employed by the organisation that are contractual home workers – [There are zero staff currently employed by the PPF that are contractual home workers.](#)
- The number of contractual home workers employed by the organisation in each of the last three financial years: 2019-20, 2020-21, 2021-22 – [The PPF has not had any contractual home workers during these three financial years.](#)

Date: 22 September 2022

Re: Telephony and Storage

<b>1. Telephony and UC/ Collaboration</b>	
a. Please confirm the manufacturer of your telephony system(s) that are currently in place	Skype for Business
b. When is your contract renewal date?	31/01/2022
c. Who maintains your telephony system(s)?	PPF IT Team
d. Do you use Unified Communications or Collaboration tools , if so which ones?	Skype for Business & Teams
<b>2. Microsoft</b>	
a) What Microsoft 365 licence do you have across the business e.g. E3, E5	E5
b) Which partner looks after your Microsoft tenant?	PPF Internal
c) Where do you host your applications? Do you have on-premise infrastructure or do you host your applications in public or private cloud? Which?	Both on premises and Azure (Cloud)
<b>3. Storage</b>	
a. Does your organisation use on-premise or cloud storage or both?	Both
b. Please confirm the on-premise hardware manufacturer	Section 31(1) (a)
c. Please confirm your cloud storage provider	Microsoft
d. What is your annual spend on cloud storage?	£500,000
e. How do you back up your data and with who e.g. Backup as a Service	Section 31(1) (a)

**Date: 10 October 2022**

**Re: Connectivity and Network Services**

Thank you for your request for information on 12 September 2022 in which you requested information about our connectivity and Network services. The information you requested is provided below.

- A. Who provides your WAN and internet connectivity and the annual spend on each – WAN: Virgin - £120,893, BT - £0, Internet IPVPN: Virgin - £11,200, BT MPLS £0 BT implementation being completed
- B. Who provides your SIP trunks and what is the annual spend – Virgin: £13,453.33
- C. Who provides your WAN services, is this MPLS, SD WAN or Internet, and what is the annual spend – BT MPLS being implemented, IPVPN Virgin
- D. Who provides your LAN infrastructure and what is your annual spend – PPF but SSC support £21,033
- E. Who provides your WIFI infrastructure and what is your annual spend – included in above
- F. Please confirm the manufacturer(s) of your wired network core and edge switching? – Cisco
- G. When was your core network installed? – 2019
- H. Has it been updated subsequently? – no
- I. Who maintains your core network? – PPF
- J. When is the contract renewal date? – N/A
- K. Please confirm value of the initial project? – £180k current BT Project
- L. Please confirm the value of annual support/maintenance services (in £)? – SCC £21,033

**Date: 20 October 2022**

**Re: Freedom of Information - LAN**

1. Contract Type: [Maintenance](#)
2. Existing Supplier: Who is the current supplier? [SCC](#)
3. Annual Spend for each supplier: What is the annual average spending on the supplier above? If there is more than one supplier, please split the annual averages spent for each supplier. [SCC - £21k](#)
4. Number of Users: Please can you provide me with the number of users this contract covers. Approximate number of users will also be acceptable. [450](#)
5. Number of Sites: The number of sites, where equipment is supported by each contract. [3](#)
6. Hardware Brand: What is the hardware brand of the LAN equipment? [This information is being withheld. We believe that disclosure would be likely to prejudice the prevention of crime, and that therefore the exemption at section 31\(1\)\(a\) of the Freedom of Information Act 2000 applies. Further explanation of why this exemption applies is provided in the annex at the end of this letter.](#)
7. Contract Description: Please provide me with a brief description of the overall contract. [Hardware and Software Maintenance](#)
8. Contract Duration: What is the duration of the contract is and can you please also include any extensions this may include. [12 months](#)
9. Contract Expiry Date: When does the contract expire? [SCC - 06/12/22](#)
10. Contract Review Date: When will the organisation be planning to review the contract? [October 2022](#)
11. Responsible Officer: Contact details including name, job title, contact number and email address? [All our procurement is managed through our Commercial Services team. Their contact details and information about how to find out about any future opportunities in this regard can be found on our website at \[www.ppf.co.uk/doing-business-us\]\(http://www.ppf.co.uk/doing-business-us\).](#)

**Date: 26 October 2022**

**Re: Organisation Chart**

Thank you for your request for information on 28 September 2022 in which you requested an organisation chart of the PPF. Our response to your request is provided below.

We publish a Responsibilities Map which shows where responsibilities lie at a senior level. The Responsibilities Map can be found at page 7 of our Senior Manager and Certification Regime publication. The Map is updated as and when changes take place and this publication also includes the names of all our Senior Manager Function employees, job titles and their responsibilities at the PPF. The latest version of this document can be found [here](#). Regarding the specific roles, you listed in your request, we have provided the attached which lists roles in our Technology and Change Directorate.

**Date: 08 November 2022**

**Re: Request for Information – Data Centre**

1. **Contract Title:** Please provide me with the contract title. - [Hardware Maintenance Break Fix - Server and Network, HPE MSA Storage](#)
2. **Type of Contracts (ABOVE):** Please can you provide me with one or more contract types the contract relate to: Server Hardware, Virtualisation, SAN (Storage Area Network) - [Server Hardware, Storage](#)
3. **Existing/Current Supplier:** Please provide me with the supplier's name for each contract. - [Specialist Computer Centres \(SCC\), Insight/HP](#)
4. **Brand:** Please state the brand of hardware or software. [Unable to disclose on the grounds of section 31 \(1\)\(a\), please see Appendix 1](#)
5. **Operating System / Software (Platform):** (Windows, Linux, Unix, vSphere, AIX, Solaris etc.) Please state the operating system used by the organisation. [Unable to disclose on the grounds of section 31 \(1\)\(a\), please see Appendix 1](#)
6. **Annual Average Spend:** Please provide me with the most recent annual spend for this contract? – [SCC - £21k, Insight - £27k](#)
7. **Contract Duration:** (Please can you also include notes if the contract includes any contract Extension periods.) - [06/12/2019 - 05/12/2022 – extended for 12 month's, 29/07/2022 – 28/07/2025](#)
8. **Contract Expiry Date:** Please can you provide me with the date of when the contract expires. – [See above](#)
9. **Contract Review Date:** (An approximate date of when the organisation is planning to review this particular contract.) – [October 2022](#)
10. **Purchase of Servers:** Could you please provide me with the month and year in which most/bulk of servers were purchased. [Aug 2018](#)
11. **Number of Physical Server:** Please can you provide me with the number of physical servers. [Unable to disclose on the grounds of section 31 \(1\)\(a\), please see Appendix 1](#)
12. **Number of Virtual Servers:** Please can you provide me with the number of Virtual servers' servers. [Unable to disclose on the grounds of section 31 \(1\)\(a\), please see Appendix 1](#)
13. **Brief Contract Description:** I require a brief description of the service provided under this contract. Please do not just put maintenance. I need at least a sentence. [Hardware breakfix maintenance support, HP 3 year tech care basic](#)

**Date: 10 November 2022**

**Re: PPF Policy**

Thank you for your request for information on 13 October 2022 in which you requested information about the PPF's use of LDI's and our holding of 30 year plus UK Gilts. I have reproduced each of your questions below with the response to each.

1. Does the PPF use LDI's? Yes- please see details relating to our [Liability Driven Investment \(LDI\) strategy here](#)
2. What is the PPF holding of 30 year plus UK gilts and index linked gilts as a percentage of the investment portfolio? [We publish information on how we allocate to different assets here](#) which may be of interest. However please note that this specific information is exempt in accordance with Section 43 – please view Appendix 1 for further details.



Date: 17 November 2022

**Re: Freedom of Information Request**

Please note, the following information has been obtained at a folder level, which means that it is possible that certain individuals will be counted more than once in the figures. This is because a member can have dual membership where they belong more than one scheme that has entered the PPF, members can also be vested which means that they will have different retirement ages in which they can take their benefits.

Table 1.

Number of members split by age range	
Age	Number of members
90 to 99	7,375
40 to 49	21,321
50 to 59	78,045
60 to 69	85,931
80 to 89	36,819
70 to 79	77,827
30 to 39	734

Table 2.

Number of members with Pre 97 benefits split by age range		
Member Type	Age	Number of members
Deferred	40 to 49	635
Deferred	50 to 59	13,171
Deferred	60 to 69	6,380
Deferred	70 to 79	1,041
Deferred	80 to 89	242
Deferred	90 to 99	100
Pensioner	30 to 39	5
Pensioner	40 to 49	67
Pensioner	50 to 59	2,072
Pensioner	60 to 69	15,961
Pensioner	70 to 79	23,025
Pensioner	80 to 89	20,430
Pensioner	90 to 99	7,082

Table 3.

Number of members with Post 97 benefits split by age range
--

Member Type	Age	Number of members
Deferred	40 to 49	706
Deferred	50 to 59	15,051
Deferred	60 to 69	21,876
Deferred	70 to 79	6,049
Deferred	80 to 89	100
Deferred	90 to 99	3
Pensioner	30 to 39	12
Pensioner	40 to 49	104
Pensioner	50 to 59	2,872
Pensioner	60 to 69	11,412
Pensioner	70 to 79	10,470
Pensioner	80 to 89	1,610
Pensioner	90 to 99	12

Table 4.

Number of members with both Pre 97 and Post 97 benefits split by age range		
Member Type	Age	Number of members
Deferred	40 to 49	5,336
Deferred	50 to 59	29,265
Deferred	60 to 69	7,686
Deferred	70 to 79	103
Deferred	80 to 89	2
Pensioner	30 to 39	11
Pensioner	40 to 49	128
Pensioner	50 to 59	8,775
Pensioner	60 to 69	38,433
Pensioner	70 to 79	43,087
Pensioner	80 to 89	14,532
Pensioner	90 to 99	181

Date: 24 November 2022

Re: Average annual compensation for pre-97 members

Member Type	Average Annual Compensation
Pre-97 Deferred	£1,644.00
Pre-97 Pensioner	£3,335.12
Pre-97 All members	£2,931.92

**Date: 01 December 2022**

**Re: PPF Pre-1997 Members**

We can confirm that there are 90,211 members who made all their contribution pre-1997 and are therefore without indexation. This figure is made up of both deferred members and pensioners. Please note, this figure has been obtained at a folder level, which means that it is possible that certain individuals will be counted more than once. This is because a member can have dual membership where they belong to more than one scheme that has entered the PPF, members can also be vested which means that they will have different retirement ages in which they can take their benefits.

**Date: 01 December 2022**

**Re: PPF Members – Pension earned pre-1997**

237,750 PPF members earned some or all their pension pre-1997. Please note, this figure has been obtained at a folder level, which means that it is possible that certain individuals will be counted more than once. This is because a member can have dual membership where they belong to more than one scheme that has entered the PPF, members can also be vested which means that they will have different retirement ages in which they can take their benefits.

Date: 15 December 2022

**Re: Equality, Diversity roles and training at the PPF**

*Please can your organisation provide the following information:*

*a) The number of roles in your association (expressed in numbers of FTE), that are mainly or exclusively focussed on issues of equality, diversity, or inclusivity. For example, this could include (amongst other guises) "EDI officers" or "diversity and inclusion project managers" but would not include general HR managers.*

*b) Either a) the pay band of each of these roles, or b) the combined total salaries for these roles. Whichever measure is more in accordance with your data preferences.*

*c) In the past 12 months the number of staff days across your organisation which have been committed to attending equality training programmes, whether internally run or with external consultants. (staff days = duration of the training programme multiplied by the number of staff in attendance for the course). If unable to provide please mark as N/A in your return.*

We have set out our response as follows:-

- a) We do not have any roles exclusively focussed on equality, diversity or inclusivity (EDI) at the PPF. We consider that EDI should be important to all members of staff; although some members of staff may have more involvement in EDI than others dependent on their role. Please note our website for further information about our commitment to diversity and inclusion: [Our commitment to diversity & inclusion | Pension Protection Fund \(ppf.co.uk\)](https://www.ppf.co.uk/our-commitment-to-diversity-and-inclusion)
- b) We do not have any relevant information for this question as per our above response.
- c) Please note the following table which includes training for new starters as well as training/webinars for specific EDI groups at the PPF from November 2021 to November 2022

Title of training	Aimed at:	Duration of training	No. of hours	Total no. of hours
Open Minds Training	All new starters	2 hours long	34 in attendance	68
'Equality and Diversity Essentials' eLearning	All new starters	90 minutes	69 new starters	103.5

Diversity and Inclusion webinars	Voluntary across all areas of the business	BHM Young Black Voices – 1 hour	15 people	15
		Take 30 session: Let's Talk Menopause – 30 minutes	83 people	41.5
		Menopause Colleague's session – 1 hour	15 people	15
		Menopause Masterclass – 1 hour	9 people	9
'Equality and Diversity for Managers' eLearning	All new line managers	60 minutes	15 new managers	15
Inclusive Recruitment eLearning	Anyone involved in the recruitment and selection process	Three modules, 30 minutes each totalling 90 minutes	97 employees	145.5
Bi Yearly Mental Health Training for new line managers	All new Line Manager (July 2022)	Part one – 3 hours	12	36
		Part two – 3 hours	9	27
Diversity and Inclusion webinars	Voluntary across all areas of the business	BHM – Allyship – 1 hour	27 people	27
		International Women's Day Event March 2022 – 30 minutes	130 people	65

The total number of hours equals 567.5.

**Date: 22 December 2022**

**Re: Contracts for call centre & inbound networks**

Firstly, please note that much of the information you have asked for is publicly available via the Contract Finder site at [www.contractsfinder.service.gov.uk](http://www.contractsfinder.service.gov.uk) by searching for the "Pension Protection Fund". As such it is exempt from disclosure under s.21(1) of the Freedom of Information Act (FOIA). This exemption applies where the information is reasonably accessible to the applicant.

We are able to provide the remaining information as follows, which we hope is of assistance:-

**Contract 1 - contact centre/call centre contracts (Call Centre Solution Contract Extension)**

1. Incumbent Supplier: [Aspect Software UK Ltd and Daisy Corporate Services Trading Ltd \(moving to Daisy/Content Guru Q2 2023\)](#)
2. Annual Average Spend: [This can be extrapolated from the contract value published on Contract Finder as per above weblink.](#)
3. Contract Duration: For each supplier, please state the contract duration of the contract expires. If available please also include any contract extensions: [This can be found on Contract Finder](#)
3. Contract Expiry: [This can be found on Contract Finder](#)
4. Contract Review: [31/5/25](#)
5. Contract Description: [This can be found on Contract Finder](#)
6. Contact Details: [All our procurement is managed through our Commercial Services team. Their contact details and information about how to find out about any future opportunities in this regard can be found on our website at \[www.ppf.co.uk/doing-business-us\]\(http://www.ppf.co.uk/doing-business-us\)](#)
7. Number of Agents: [Approximately 200](#)
8. Number of Sites: [One site](#)
9. Manufacturer of the contact centre: [Alvaria \(Aspect's parent company\), moving to Daisy/Content Guru Q2 2023](#)
10. Do you use Microsoft Exchange 2003 as your email server? If not, then which product do you use? [No. PPF use Microsoft Exchange Online](#)
12. Number of email users: [Approximately 500](#)

**Contract 2 - inbound network services contracts (Session Initiation Protocol (SIP) & Direct Inward Dialling (DDI))**

1. Incumbent Supplier: [Virgin Media Business](#)



2. Annual Average Spend: This can be extrapolated from the contract value published on [Contract Finder](#)
3. Contract Expiry: This can be found on [Contract Finder](#)
4. Contract Review: 14/10/23
5. Contract Description: This can be found on [Contract Finder](#)
6. Contact Details: All our procurement is managed through our Commercial Services team. Their contact details and information about how to find out about any future opportunities in this regard can be found on our website at [www.ppf.co.uk/doing-business-us](http://www.ppf.co.uk/doing-business-us)

**Date: 22 December 2022**

**Re: Recruitment costs**

I write in response to your FOI request as received on 6 December 2022:

- a) *How much money was spent by the Pension Protection Fund on permanent recruitment fees for salaried positions over £50,000, over the last 12 months (from 1st Jan 2021 to now).*
- b) *Of that, how much was spent through framework RM6290, or its predecessor framework RM6002 Permanent Recruitment Solutions.*
- c) *Of that, how much was spent through framework RM6229, or its predecessor framework RM6002 Permanent Recruitment Solutions*

Please see the following in response to your questions:-

- a) We have spent £74,210 plus VAT in total on agency fees for recruitment to salaried positions over £50,000 from 1 January 2022. We note that your request asked for the information for the last twelve months and that "Jan 2021" is likely to be a typing error. Please let us know if you require the data from 2021. Please also note that we often recruit new starters direct via our website and accept speculative applications from candidates.

**Date: 20 January 2023**

**Ref: Member Payments**

I write in connection with your FOI request enquiring what percentage of current PPF members took a pension commencement lump sum when they started taking their compensation.

We can advise that 28.2% of PPF members currently in payment also received a pension commencement lump sum.

**Date: 28 February 2023**

**Re: Recruitment/HR**

- 1. Has the organisation used agencies to recruit temporary/contractor staff?  
1a. If so please confirm the total agency spend on temporary/contractor staff??  
1b. Please provide a breakdown of your answer to question 1a, splitting the spend by job title/specialism*
- 2. Has the organisation used agencies to recruit permanent staff?  
2a. If so please confirm the total agency spend on permanent staff?  
2b. Please provide a breakdown of your answer to question 2a, splitting the spend by job title/specialism*
- 3. The contact name of the person responsible for dealing with permanent recruitment?*
- 4. The contact name of the person responsible for dealing with temporary/contractor recruitment*

Please see our response as follows:-

- 1) We have not recruited anyone under a day rate/temporary contract via an agency in this timeframe.
- 2) From February 2022 to present I can confirm the PPF's agency spend on permanent recruitment is £139,921 (exclusive of VAT) from the following specialist areas – Investment Operations, Strategy & Legal, Communications, Risk and Member Services.

3&4) We consider that the names of these employees should be withheld under section 40(2) of the Freedom of Information Act 2000 (FOIA) as we consider that this information is exempt from disclosure as it relates to personal data.

In our view, providing this information would allow an individual(s) to be identified which would contravene the first principle of the Data Protection Act. We consider it would be unfair to provide this information as the data subject(s) would have no reasonable expectation that it would be disclosed.

We note that we can choose to disclose personal data where we consider there is a legitimate interest to do so but we do not consider here that there is any specific legitimate interest in providing names of employees. Please therefore note that this letter acts as a partial Refusal Notice in accordance with section 17 of FOIA.

**Date: 28 February 2023**

**Re: Purple Book/Funding**

- 1) *Can I check when does the PPF 60 index get released on a monthly basis ?*
- 2) *Is it possible to get data on excel for last 5 years on funding ratios?*
- 3) *Lastly just to check when will the next fiscal year's purple book will be released please.*

Please note our response as follows:-

- 1) We have understood your question to refer to the PPF 7800 index; and can confirm that it is a national statistic and is published on the second Tuesday of every month.
- 2) We note that this information is readily accessible via: [The PPF 7800 index | Pension Protection Fund](#). We are not obliged under the Freedom of Information to reformat existing information into Excel or other formats to answer a request.
- 3) The Purple Book is published each December.

**Date: 01 March 2023**

**Re: Purple Book Data**

*Some years ago in The Purple Book you published charts of the Liability-weighted 1 year ahead insolvency probability (eg, Chart 6.2 of the 2013 Purple Book). If this data is still calculated can I request a copy in excel format? If it is no longer calculated, can I request the most recent copy of the data please?*

*The annual PPF insolvency rate continues to feature in the Purple Book (Figure 6.1). Could you please send me the data in excel form behind this chart?*

We no longer collate or chart this data in the same format as per your request , however, we currently publish data in our [Purple Book](#) on page 22 relating to the annual insolvency rate for employers in the PPF universe and companies in England and Wales. It also shows the number of England and Wales company insolvencies compared with the rate of UK real GDP growth.

It may also assist you to view data online via:-

[Company Insolvency Statistics: October to December 2022 - GOV.UK \(www.gov.uk\)](http://www.gov.uk)

[UK business; activity, size and location - Office for National Statistics \(ons.gov.uk\)](http://ons.gov.uk)

**Date: 02 March 2023**

**Re: Contracts**

*As per my records, Azure hosting contract has expired. I would like to know whether this contract is still valid or replaced by any other supplier.*

*If all the information besides the contract dates are the same, I am happy to just receive an update on the contract dates*

*We note our previous response, ref 15 21 22, and we can further advise that the Azure contract has been renewed as it has a three-year extension period.*

**Date: 20 March 2023**

**Re: Tobacco Companies**

*Please provide a list of any and all contact your organisation and/or staff have had with British American Tobacco, Imperial Brands, Japan Tobacco International, Philip Morris International, as well as any other domestic or transnational tobacco companies or anyone representing the tobacco industry including subsidiaries of tobacco industry.*

*I would like this information for the period from 1 April 2021 to 20 February 2023.*

*Contact would primarily include attending or arranging meetings or functions, and responding to correspondence or phone calls.*

*Please break down the information by:*

- Tobacco company or representative's name*
- Date of contact(s)*
- Type of contact (meeting, email, letter, phone call, text/app message or video call, e-card or any other form of electronic communication)*
- Place of contact, if relevant*
- Purpose of contact*
- Outcome of contact, including if no action taken*

We have no relevant information for your request as we have not received or initiated any direct contact with these companies other than processing levy invoices. We note your definition of "contact" within the request and confirm we have no record of contact of this specific nature within the specified timeframe.